

**Notification of The Thai Bond Market Association**  
**Re: Rules and Procedure in continuing professional education (CPE) of registered trader**

By virtue of clause 20(2) of the Articles of Association of the Thai Bond Market Association dated September 21, 2005 and clause 17 of The Notification of the Board of Directors of the Thai Bond Market Association Re: Registered Trader dated December 23, 2005. The Board of Directors of the Thai Bond Market Association hereby issues the followings:

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| Clause 1 | In this Notification:<br>“Association” | Means | The Thai Bond Market Association;   |
|          | “Trader”                               | Means | A Member’s personnel appointed to act as a trader in debt instruments and registered with the association;      |
|          | “Training”                             | Means | To attend the training or seminars organized or co-organized by the Association;                                |
|          | “Speaker”                              | Means | Be a speaker, a lecturer or a moderator in a training or seminars organized or co-organized by the Association; |
|          | “Hour”                                 | Means | Number of hours in continuing professional education (CPE) of registered trader.                                |
- Clause 2 Registered trader who completed 10 hours in continuing professional education of registered trader within 2 calendar years from the initial registration or the previous refresher course as stipulated by the Association is exempted from attending the Refresher Course.
- Clause 3 Criteria on collecting number of hours  
 (1) Training  
 Registered Trader shall complete not less than 80 percent of total time in each seminar or training course.  
 Number of hours in each course that could be collected shall be under the criteria and procedure stipulated by the Association.  
 (2) Speaker  
 Being a speaker, Registered Trader may collect up to 6 hours within 2 calendar years.  
 In the case of being a speaker in a course which has the same content and material as the previous one, it can be counted no more than 3 times.
- Clause 4 Registered trader shall report the number of hours in continuing professional education to the Association under the criteria and procedures stipulated by the Association.

Clause 5      This notification shall come into effect as from January 2, 2007.

Given on this 2<sup>nd</sup> day of October 2006

(Mr. Sommai Phasee)  
Chairman of the Board  
The Thai Bond Market Association