

(UNOFFICIAL TRANSLATION)

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Revised on 4 January 2021

**Attachment**

**Bond trading purpose and Counterparties abbreviate name**

**Abbreviate name of bond trading purpose**

1. Dealer should be specify Abbreviate name of bond trading purpose as prescribe;

(1)	OUT	means	Buy and sell bond for outright transaction
(2)	OUTA	means	Buy and sell bond for Auction
(3)	FIN	means	conduct bond trading for general financing transaction such as sell and buy back, Sparf etc.
(4)	FINB	means	conduct bond trading for Bilateral Repo transaction
(5)	FINP	means	conduct bond trading for Private Repo transaction
(6)	DERFW	means	conduct bond trading for Derivative forwards transaction
(7)	DERFT	means	conduct bond trading for Derivative future transaction
(8)	DEROP	means	conduct bond trading for Derivative options transaction
(9)	OTH	means	Buy and sell for other purpose other than (1) to (9)

**Abbreviate name of Counterparties (Dealer / Client)**

1. Abbreviate name of Financial institutions which have bond trading license (Dealer) as prescribe;

(1)	AEC	means	AEC Securities Plc.
(2)	AIRA	means	AIRA Securities Co.,Ltd.
(3)	ANZ	means	ANZ Bank (Thai) Plc.
(4)	ASPS	means	Asia Plus Securities Co.,Ltd.
(5)	BAY	means	Bank of Ayudhya Plc.
(6)	BBL	means	Bangkok Bank Plc.
(7)	BLS	means	Bualuang Securities Plc.
(8)	BNPP	means	BNP Paribas, Bangkok Branch
(9)	BofA	means	Bank of America National Association
(10)	CGS	means	Country group Securities Plc.
(11)	CGS-CIMB	means	CGS-CIMB Securities Co.,Ltd.
(12)	CIMBT	means	CIMB Thai Bank Plc.
(13)	CITI	means	Citibank, N.A.
(14)	CNS	means	Capital Nomura Securities Plc.
(15)	CST	means	Citicorp Securities (Thailand) Co.,Ltd.
(16)	DBBK	means	Deutsche Bank AG
(17)	DBSV	means	DBS Vickers Securities (Thailand) Co.,Ltd.
(18)	FSL	means	Finansa Securities Co.,Ltd.
(19)	FSS	means	Finansia syrus Plc.
(20)	GBS	means	Globlex Securities Co.,Ltd.
(21)	GSB	means	Government Saving Bank
(22)	HSBC	means	The Hongkong And Shanghai Banking Corporation Co.,Ltd.
(23)	JPMCB	means	JPMorgan Chase Bank
(24)	KBANK	means	Kasikornbank Plc.
(25)	KINGSFORD	means	Kingsford Securities Plc.

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(26)	KGI	means	KGI Securities (Thailand) Plc.
(27)	KKP	means	Kiatnakin Phatara Bank Plc.
(28)	KS	means	Kasikorn Securities Plc.
(29)	KSS	means	Krungsri Securities Plc.
(30)	KTZ	means	Krungthai Zmico Securities Co.,Ltd.
(31)	KTB	means	Krung Thai Bank Plc.
(32)	KTBST	means	KTBST Securities Plc.
(33)	LHS	means	Land and House Securities Plc.
(34)	MBKET	means	Maybank Kimeng Securities (Thailand) Plc.
(35)	PST	means	Phillip Securities (Thailand) Plc.
(36)	KKPS	means	Kiatnakin Phatara Securities Plc.
(37)	RHBS	means	RHB Securities (Thailand) Plc.
(38)	SCB	means	Siam Commercial Bank Plc.
(39)	SCBS	means	SCB Securities Co., Ltd.
(40)	SCBT	means	Standard Chartered Bank (Thai) Plc.
(41)	TBANK	means	Tanachart Bank Plc.
(42)	TISCO	means	TISCO Bank Plc.
(43)	TMB	means	TMB Bank Plc.
(44)	TRISEC	means	Trinity Securities Co., Ltd.
(45)	UOBKHST	means	UOB KAYHIAN Securities (Thailand) Plc.
(46)	UOBT	means	United Overseas bank (Thai) Plc.
(47)	YUANTA	means	Yuanta Securities (Thailand) Co.,Ltd.

Financial institutions which had suspend bond trading license (Dealer) must be specify  
Abbreviate name to “NDL” such as;

(1)	IVG	means	IV Global Securities Co.,Ltd.
(2)	JPM	means	JP Morgan Chase Securities Co.,Ltd.
(3)	ML	means	Merillynch Securities Co.,Ltd.
(4)	UBS	means	UBS Securities (Thailand) Co.,Ltd.

2. Abbreviate name of Asset management companies (Client) as prescribe;

(1)	ABERDEEN	means	Aberdeen Asset Management Co.,Ltd.
(2)	AIAIMT	means	AIA Investment Management (Thailand) Asset Management Co.,Ltd.
(3)	ASSETFUND	means	Asset Plus Fund Management Asset Management Co.,Ltd.
(4)	BBLAM	means	BBL Asset Management Co.,Ltd.
(5)	BACP	means	Bangkok Capital Asset Management Co.,Ltd.
(6)	CLF	means	Capital Link Fund Asset Management Co.,Ltd.
(7)	PRINCIPAL	means	Principal Asset Management Co.,Ltd.
(8)	FAM	means	Finansa Asset Management Co.,Ltd.
(9)	KASSET	means	Kasikorn Asset Management Co.,Ltd.
(10)	KSAM	means	Krungsri Asset Management Co.,Ltd.
(11)	KTAM	means	Krung Thai Asset Management Plc.
(12)	LHFUND	means	Land and House Fund Management Co.Ltd.

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(13)	MAMT	means	Manulife Asset Management (Thailand) Co.,Ltd.
(14)	MFC	means	MFC Asset Management Plc.
(15)	MPAM	means	Merchants Partner Asset Management Co.,Ltd.
(16)	ONEASSET	means	ONE Asset Management Co.,Ltd.
(17)	PAMC	means	Phillip Asset Management Co.,Ltd.
(18)	KKPAM	means	Kiatnakin Phatara Asset Management Co.,Ltd.
(19)	SCBAM	means	SCB Asset Management Co., Ltd.
(20)	IAM	means	Innotech Asset Management Co.,Ltd.
(21)	TALISAM	means	Talis Asset Management Co.,Ltd.
(22)	TAM	means	TISCO Asset Management Co., Ltd.
(23)	TFUND	means	Tanachart Fund Eastspring Asset Management Co.,Ltd.
(24)	TMBAM	means	TMB Asset Management Co., Ltd.
(25)	TTIF	means	Thai Trust Fund Asset Management Co.Ltd.
(26)	UOBAM	means	UOB Asset Management Co., Ltd.
(27)	WEASSET	means	WE Asset Management Co.,Ltd.

3. Abbreviate name of Fund management unit of finance and securities firms other than 2. whom have Private fund license or Provident fund license (Client) as prescribe;

(1)	AIA-fund	means	American International Assurance fund management division
(2)	AIRA-fund	means	AIRA Securities fund management division
(3)	ASP-fund	means	Asia Plus Securities fund management division
(4)	DBBK-fund	means	Dutch Bank fund management division
(5)	KSS-fund	means	Krungsri Securities fund management division
(6)	KTBST-fund	means	KTBST Securities fund management division
(7)	MP-fund	means	Merchants Partner Securities fund management division
(8)	PST-fund	means	Phillip Securities (Thailand) fund management division
(9)	PTSEC-fund	means	Phatara Securities fund management division
(10)	SCBS-fund	means	SCB Securities fund management division
(11)	TRISEC-fund	means	Trinity Securities fund management division

4. Abbreviate name of Counterparties other than 1. 2. and 3. (Client) as prescribe;

(1)	DCO (Domestic Company)	means	Domestic juristic person which is not a financial institution
(2)	FCO (Foreign Company)	means	Foreign juristic person
(3)	IND (Individual Investor)	means	Investor who is not an institution
(4)	INDF (Foreign Individual Investor)	means	Foreign Investor who is not an institution
(5)	Insurance companies		
	(5.1) AIA	means	American International Assurance (Thailand) Plc.
	(5.2) AXA	means	AXA Insurance Plc.
	(5.3) AZAY	means	Allianz Ayudhaya General Insurance Plc.
	(5.4) BKI	means	Bangkok Insurance Plc.
	(5.5) BLA	means	Bangkok Life Assurance Plc.
	(5.6) KTAXA	means	Krungthai-AXA Life Insurance Plc.

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(5.7) SEIC	means	South East Insurance Plc.
(5.8) SELIC	means	South East Life Insurance Plc.
(5.9) THAILIFE	means	Thai Life Insurance Plc.
(5.10) INS	means	Insurance company
(6) Non-Dealer Financial Institutions		
(6.1) BAAC	means	Bank for Agriculture and Agricultural Cooperatives
(6.2) BFIT	means	Srisawad Finance Plc.
(6.3) EXIM	means	Export-Import Bank of Thailand
(6.4) GHB	means	Government Housing Bank
(6.5) ICBCT	means	ICBC (Thai) Bank Plc.
(6.6) ISBT	means	Islamic Bank of Thailand
(6.7) LHBANK	means	Land and House Bank Plc.
(6.8) MHBKK	means	Mitsuo Bank Bangkok Branch Plc.
(6.9) RHB	means	RHB Bank
(6.10) SMBC	means	Sumitomo Mitsui Banking Corporation
(6.11) SMCT	means	Secondary Mortgage Corporation
(6.12) SME	means	Small and Medium Enterprise Development Bank of Thailand
(6.13) TCG	means	Thai Credit Guarantee Corporation
(6.14) TCRB	means	The Thai Credit Retail Bank Plc.
(6.15) TSFC	means	TSFC Securities Co.,Ltd
(6.16) NDL	means	Non-Dealer License
(7) Other Counterparties		
(7.1) BOT	means	Bank of Thailand
(7.2) COOP	means	Cooperatives
(7.3) DPA	means	Deposit Protection Agency
(7.4) GPF	means	Government Pension Fund
(7.5) NSF	means	National Saving Funds
(7.6) PST-Client	means	Philip Securities Thailand for client portfolio
(7.7) SSF	means	Social Security Fund
(7.8) OTH (Others)	means	Counterparty other than (7.1) to (7.7) such as State Enterprise Agency, foundation and association, etc.