

Notification of the Board of Directors of the Thai Bond Market Association

Re: Rules and Procedure in Continuing Professional Education (CPE) of Registered Trader

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By virtue of Clause 20(2) of the Articles of Association of the Thai Bond Market Association dated September 21, 2005. The Board of Directors of the Thai Bond Market Association hereby issues the followings:

**Clause 1** The Notification of the Board of Directors of the Thai Bond Market Association Re: Rules and Procedure in Continuing Professional Education (CPE) of Registered Trader dated October 2, 2006 shall be repealed.

**Clause 2** In this Notification:

“Association”	Means	The Thai Bond Market Association;
“Trader”	Means	A trader registered with the Association;
“Training”	Means	Attendance at a training course or Seminar organized by the Association or other agencies with approval from the Association on CPE credits eligibility;
“Speaker”	Means	Be a speaker, a lecturer or a moderator in a training course or seminar organized by the Association with approval of CPE credits eligibility;
“CPE Credits”	Means	The number of hours in continuing professional education (CPE) of registered trader.

**Clause 3** Registered trader who has undertaken relevant training courses and seminars in continuing professional education of registered trader as stipulated by the Association shall be maintained a status of registered trader by the following criteria:

(1) Earning at least 15 CPE credits for the current period in which the status of registered trader shall be extended for another two calendar years

(2) CPE credits in accordance with (1) shall consist of at least 3 hours training courses related to rules, regulation, ethics, code of conduct and related laws and at least 12 hours training courses related to fixed income knowledge with approval from the Association on CPE credits eligibility.

The Association is authorized to determine the condition and the number of CPE credits that could be earned. The Managing Director shall consider based on relevancy of the courses.

**Clause 4** Criteria on earning CPE credits

**Training**

Registered Trader shall attend the full times in each course. The CPE credits shall not be able to divide in ratio.

The CPE credits that could be earned in each course shall be stipulated by the Association.

**Speaker**

For being a speaker, registered trader shall be able to earn CPE credits up to 6 hours. The Association shall determine a type and the number of credits based on the contents of lecture

In case of being a speaker in the same topic, the CPE credits shall not be counted.

**Clause 5** Registered trader shall submit the relevant documents on CPE credits earned prior to the expiry date of registration according to the form stipulated by the Association.

**Clause 6** This notification shall come into effect as from March 13, 2012.

Given on this 13<sup>th</sup> day of March 2012

(Mr. Bandid Nijathaworn)

Chairman of the Board

The Thai Bond Market Association