

Notification of The Thai Bond Market Association

Re: Rules on Examination of Documents and Trading Accounts of Members

By virtue of clause 15(4) and clause 20(2) of the Articles of Association of the Thai Bond Market Association dated September 21, 2005, the Board of Directors of the Thai Bond Market Association hereby issues the followings:

Clause 1 In this Notification:

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| “Association” | Means | The Thai Bond Market Association; |
| “Board” | Means | The Board of the Association; |
| “Member(s)” | Means | Member(s) of the Association; |
| “Registered Debt Instrument” | Means | Debt instrument registered with the Association; |
| “Compliance Officer” | Means | The executive of a member having supervisory power to ensure compliance by members in their performance with the rules and regulations of the Association; |
| “Examiner” | Means | Personnel appointed by the Board of the Association for the purpose of examination of documents and trading accounts of member. |

Clause 2 For the purpose of examination of documents and trading accounts of member. The Board shall have the power to appoint its personnel for the examination of the members.

- Clause 3 In Examination of documents and trading accounts of members. The examiner have an authority as follows;
- (1) Enter into the Member's property or the place which maintain member electronic data during office hours for the purpose of examination of documents and trading accounts of member.
 - (2) Examine or request the Member, its directors, personnel, employees, auditor, assembler of computerized information and any other person concerned. In examination of documents and trading account of members. The Member, its directors, personnel, employees, auditor, assembler of computerized information and any other person concerned shall provide a copy of documents or trading account of members in the format required by the examiner.
- Clause 4 Member and any other person concerned shall provide reasonable facility to the examiner while he/she performs his/her duty
- Clause 5 The examiner shall in the discharge of his or her duty produce his or her identity card to the person concerned. The examiner's identity shall be in the form prescribed by the Association.
- Clause 6 The Board shall have the power to prescribe any additional guideline of practices or to cancel or modify such practices for the purposes of ensuring compliance with these regulations and best practices in the bond market.
- Clause 7 This notification shall come into effect as from October 2, 2006

Given on this 2nd day of October 2006

(Mr. Sommai Phasee)

Chairman of the Board

The Thai Bond Market Association