**Details of the Person Appointed Operating Officer**

Appendix *of* ***Form REGIS-1***

***(one document per one operating officer)***

Date...............................................................

We, .................................................... (Juristic name).................................................................................................................................

☐New appointment / amendment ☐Cancellation

Status of appointed person

☐ Director ☐ Our employee

☐ Other company’s employee [Identify the company’s name] ………………………………………………………………………………………….

For this purpose, information transmitted via System (may choose any or both Systems)

☐ IPOS System   
 *Remark :*

* *For the person authorised to, and the person in the process of applying for username and password form the Office of SEC to, transmit information via IPOS System, but have not been authorised to transmit information to ThaiBMA.*
* *In this case username and password will not be separately issued by ThaiBMA.*
* *In case username and password for the transmission of information via ThaiBMA System are required, “ThaiBMA System” must also be selected.)*

☐ ThaiBMA System

☐ Function – transmission of Debt Securities information and prospectus (upload prospectus)

☐ Function – transmission of financial statement and other functions

☐ Both functions

|  |  |
| --- | --- |
| Fist Name- Last Name (Thai) |  |
| Fist Name- Last Name (English) |  |
| ID Card Number/ Passport Number |  |
| Position |  |
| E-mail Address |  |
| Telephone |  |
| **Appointed Operating Officer Signature** |  |

Effective period of appointment /cancellation

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| ☐ | **In case of appointment** | Effective from (including) | ☐ Date................................................... | To (including) | ☐ Date………………………………… |
| ☐ The date of this Appendix | ☐ The date a notice of change in writing is submitted to ThaiBMA |
| ☐ | **In case of cancellation** | Effective from (including) | ☐ Date................................................... | | |
| ☐ The date of this Appendix | | |

|  |  |
| --- | --- |
| Signed ......................................................….. | Signed......................................................….. |
| (................................................……) | (................................................……) |

**Remark This letter must be signed by the authorised signatory acting on behalf of the juristic person with the company’s seal affixed (if any) and accompanied by the following documents :**

* A copy of company’s affidavit (certified true copy)
* A copy/copies of Identity Card of the authorised signatory and the Operating Officer (certified true copy)
* A copy of Power of Attorney (in case of proxy), including a copy/copies of Identity Card of grantor and grantee (certified true copy)